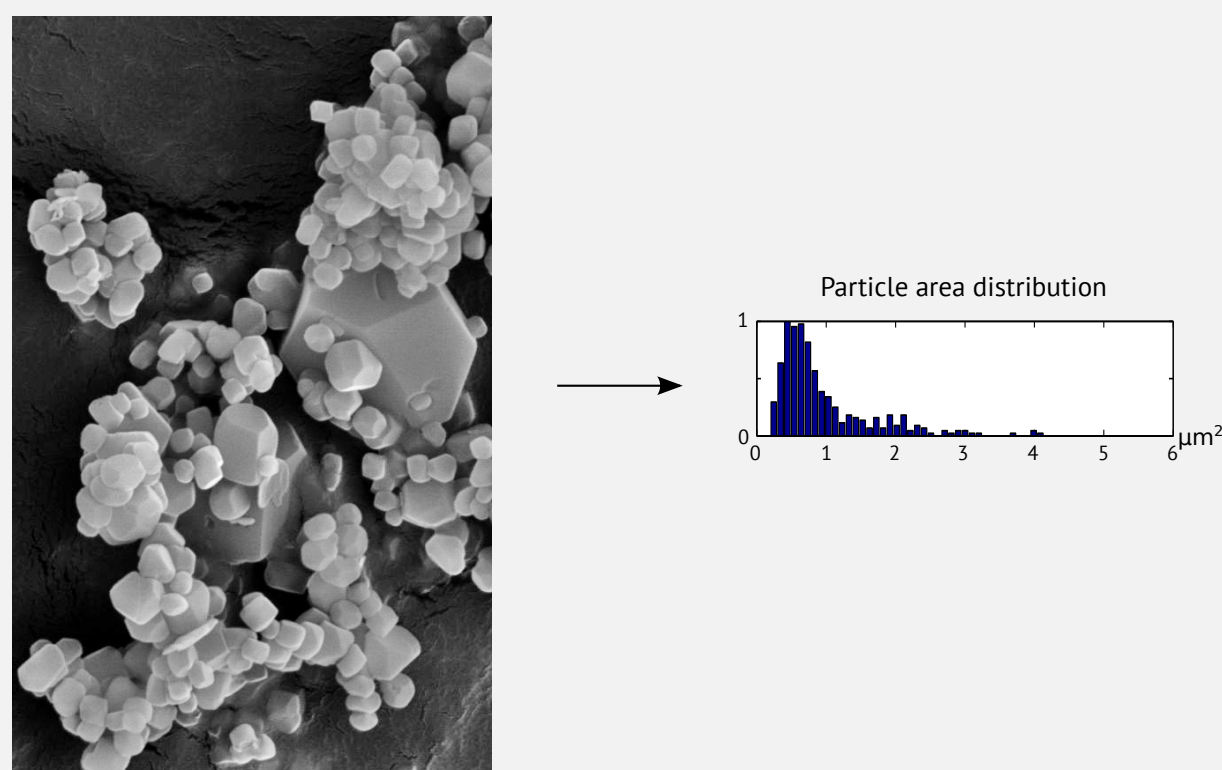


# Measuring particle statistics using a CNN-based segmentation

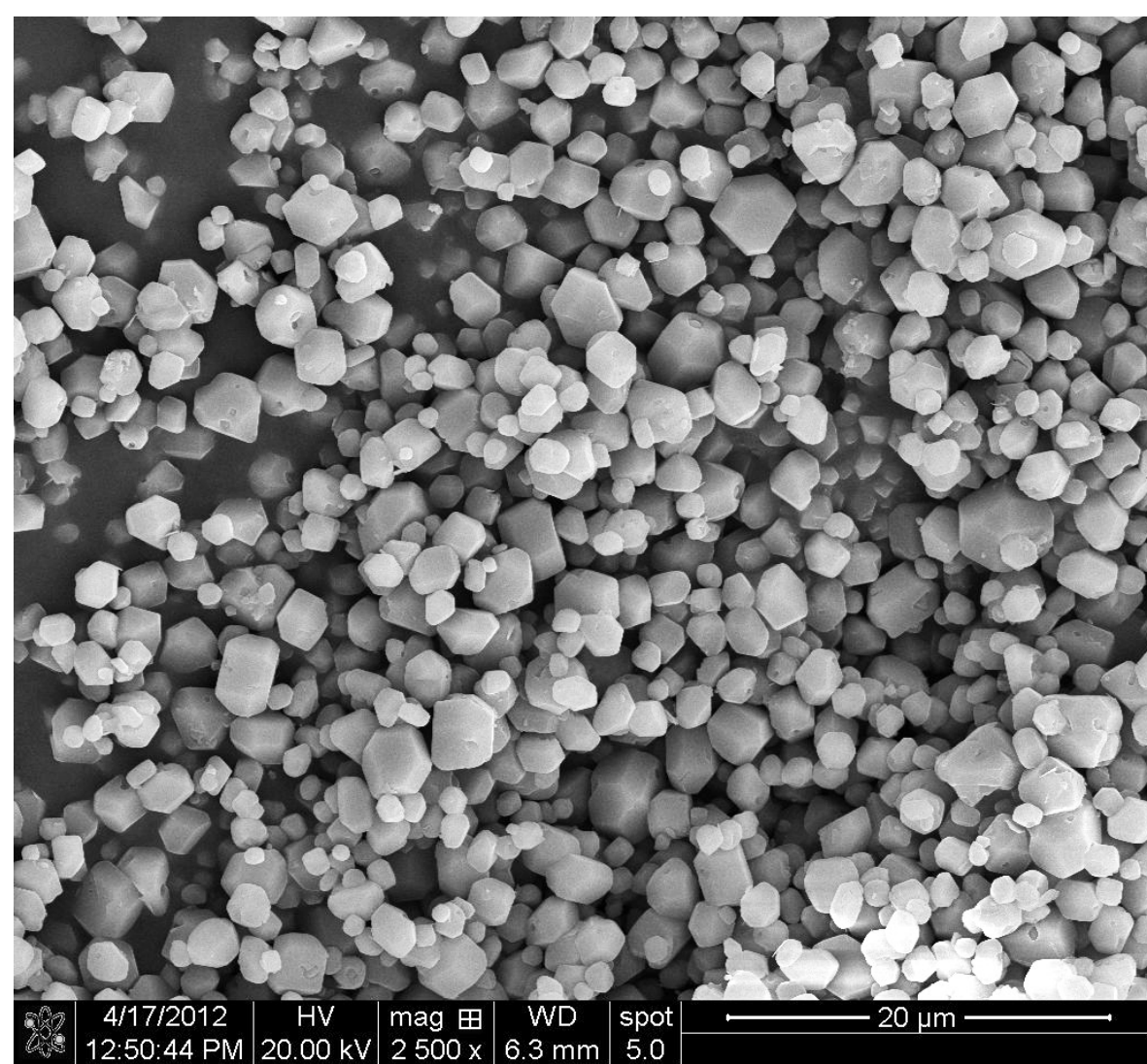
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**Motivation** We wish to analyze the behavior of chemical compounds over time in order to e.g. estimate shelf life of pharmaceutical drugs. Many chemical compounds have the ability to *crystallize* leading to changes in the physical properties of a drug. One way to characterize the crystallization process is by monitoring the size of the individual particles/crystals over time using *scanning electron microscopy* (SEM) images. This process requires manual inspection and is cumbersome and labor intensive. We show that this task can be automated using a convolutional neural network (CNN) to segment the particles.

## Dataset

The dataset consists of a series of SEM images taken over time showing particles of a chemical compound.

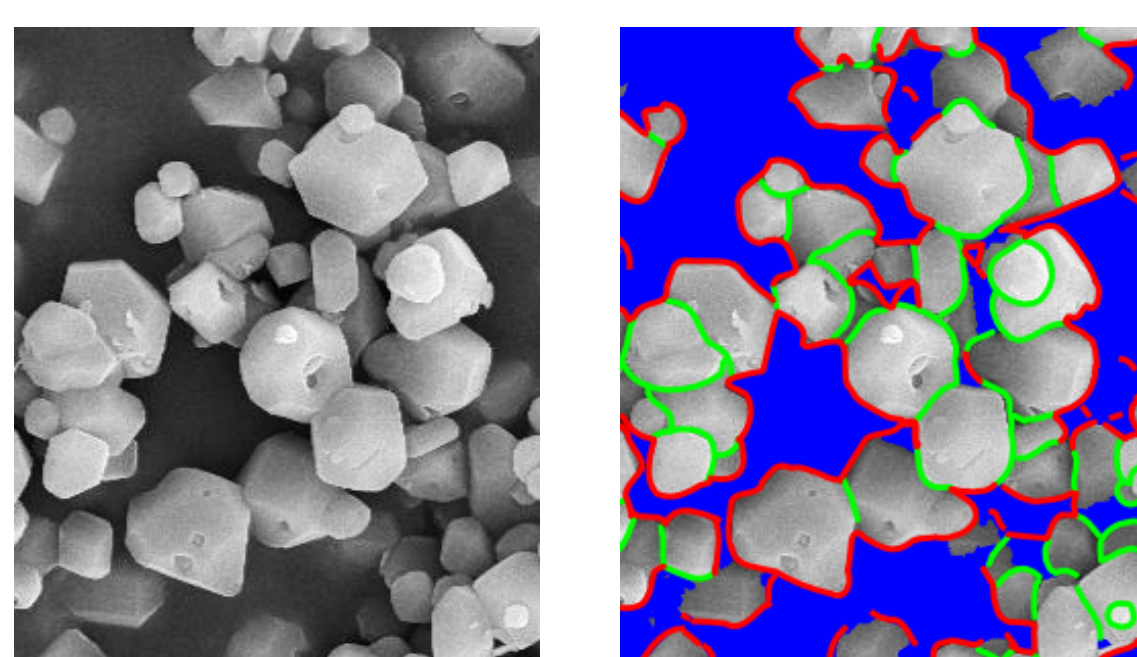


SEM image example.

## Annotation scheme

We wish to determine particle boundaries in order to separate the particles. Therefore, we manually annotate a small region of an image into background (blue), background borders (red), and inter-particle borders (green).

**NB:** We do not handle overlapping particles in a clever way. The current manual scheme for measuring particle sizes has similar (and other) limitations.

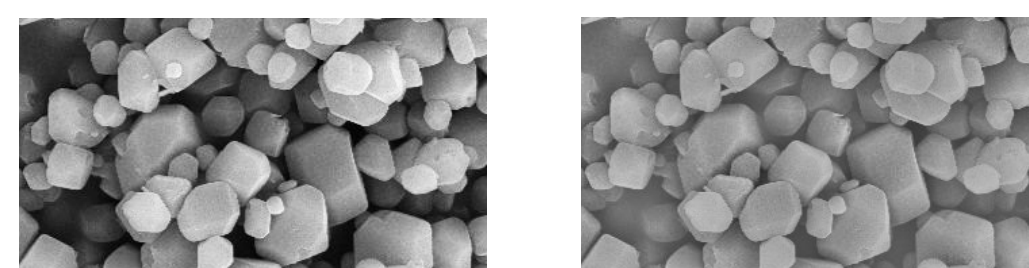


Annotation example.

## Method

An image is processed by extracting overlapping patches and assigning class probabilities to each patch. The pixel-wise class probabilities are then used as segmentation.

**Preprocessing:** Local contrast normalization to compensate for exposure and contrast perturbations.



Before (left) and after (right) local contrast normalization.

## Convolutional neural network

We employ a feed-forward convolutional neural network with the following implementation details.

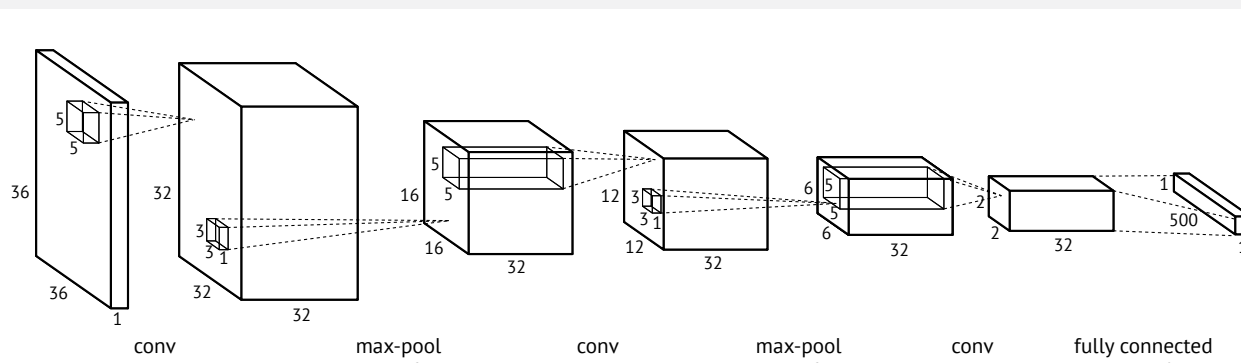
**Mini-batch SGD with momentum** For more stable gradient updates and faster convergence.

**Dropout** We use dropout regularization in the fully connected layer to prevent overfitting.

**Rectified linear units** For faster convergence.

**Multi-target, multinomial logistic regression** We assign class labels to a  $3 \times 3$  neighborhood around each patch center. The label overlap yields a smoother segmentation.

**FFT-based convolutions** Allow for a GPU architecture agnostic implementation.



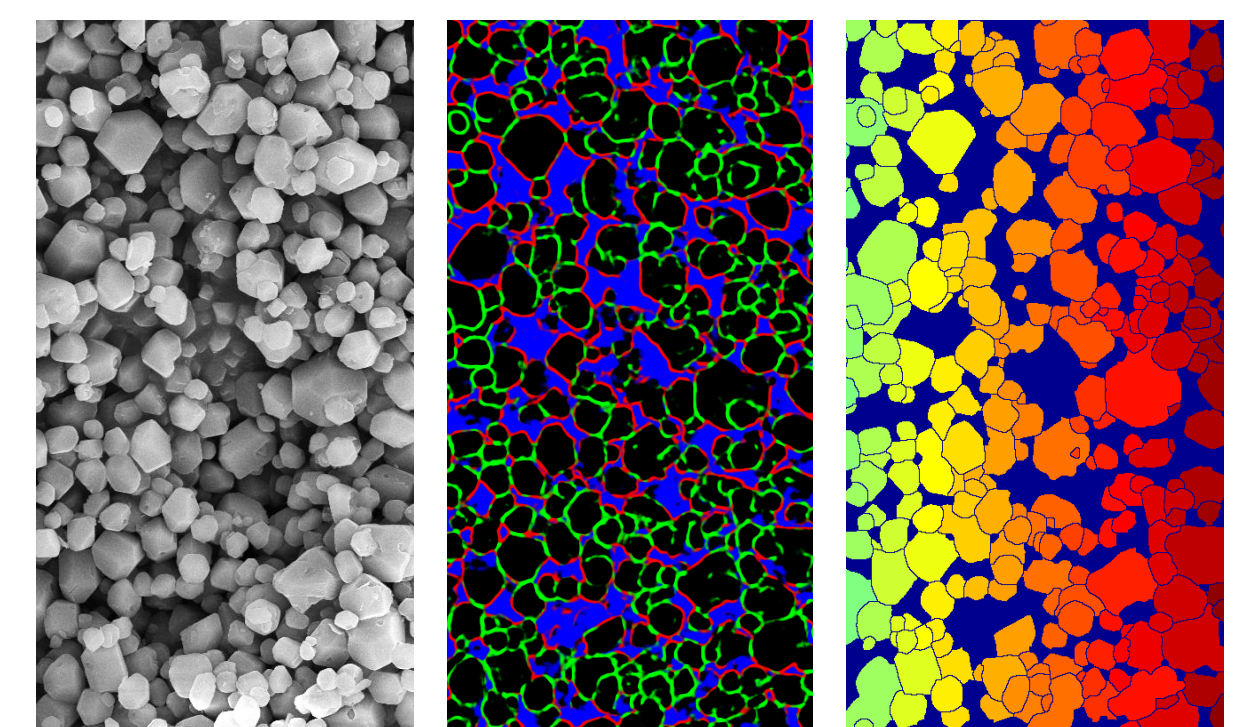
The convolutional neural network architecture.

## Measuring particle sizes

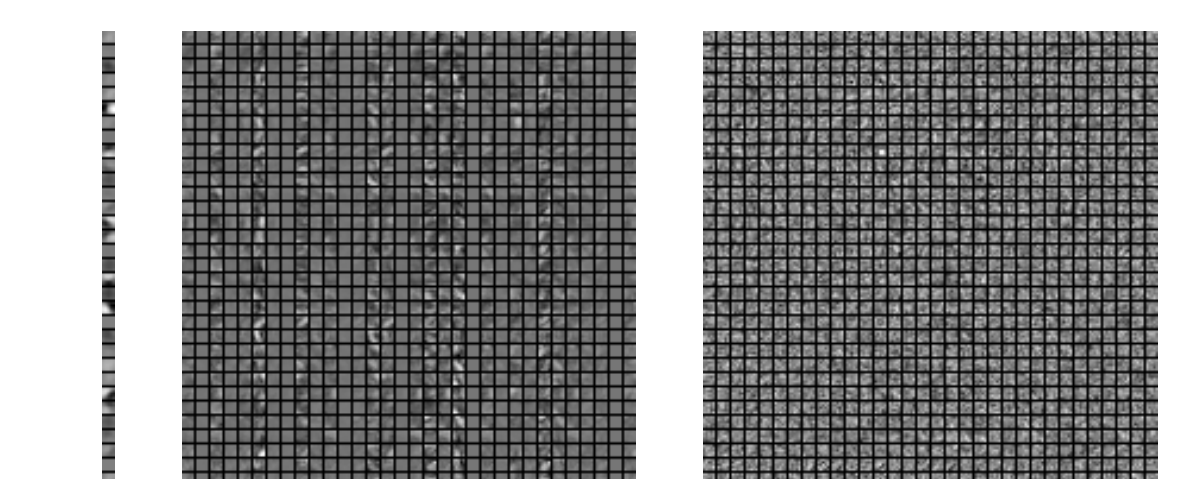
With the segmentation image, we can extract particles using a *watershed* transformation. The area of each particle is then measured by counting pixels (the physical size of a pixel is known).

## Results

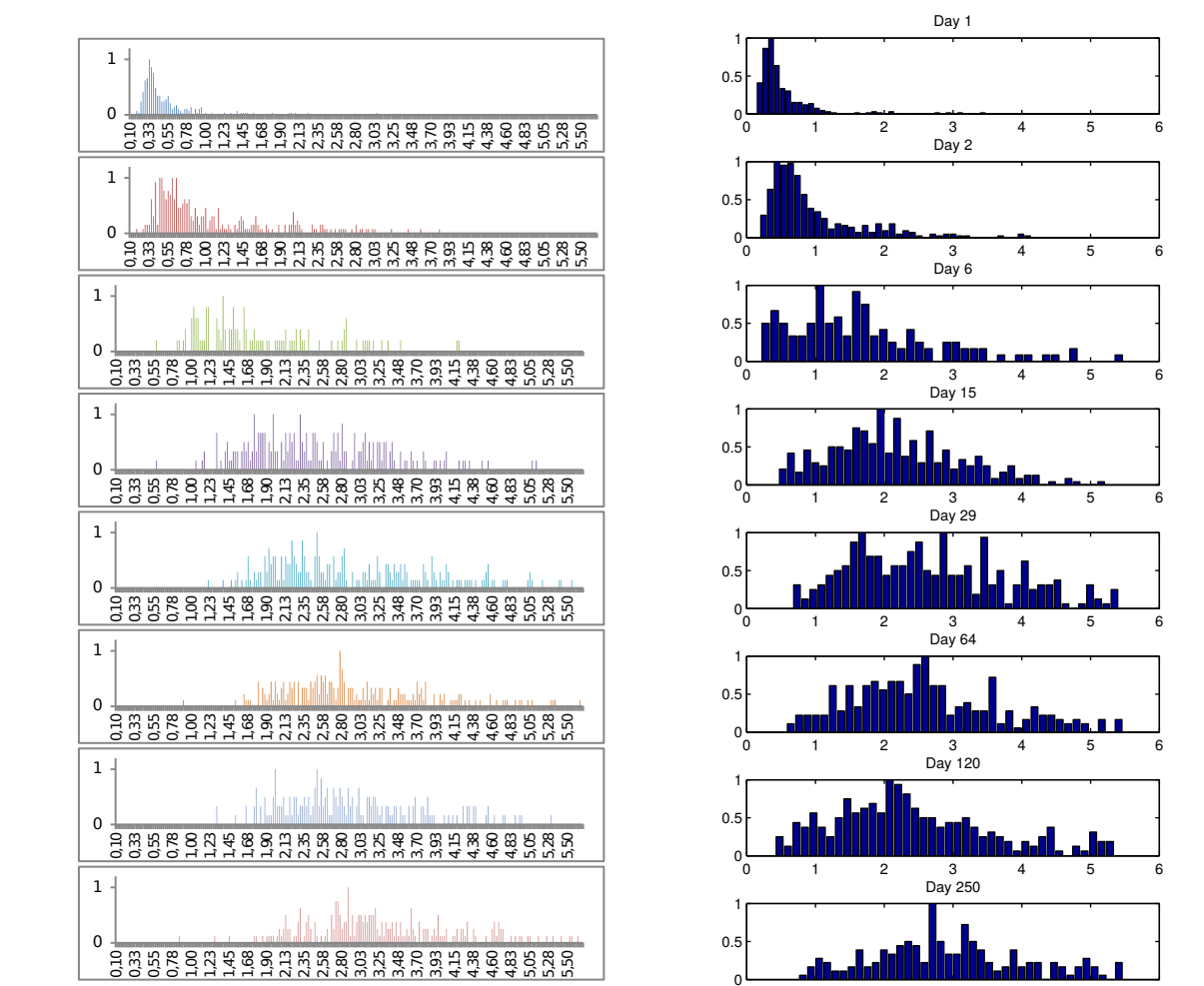
We are able to measure particle size distributions similar to what has been measured manually.



Left: Input image. Middle: CNN segmentation. Right: Extracted particles.



Learned CNN filter banks for the 3 convolutional layers.



Particle area distributions over 1 to 250 days. Manual (left) vs. automatic (right) measurements.